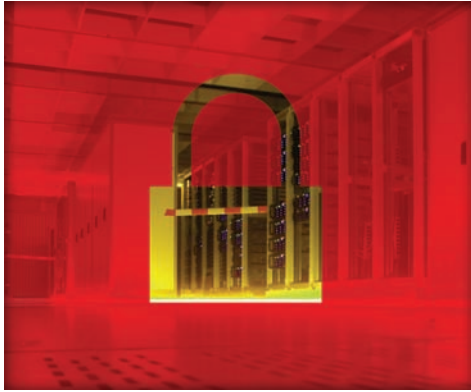


# PNC Guard®

## 24/7 Real-Time PNC Auditing



“ PNC Guard works tirelessly 24/7 on our behalf. It is easy to use and very effective. Regardless of overt or covert operation, trends are easily highlighted and the process guards against any possible misuse of PNC data. A must have auditing tool! ”

- PNC Liaison Officer  
Derbyshire Constabulary

### How it Works

Once installed setup couldn't be easier. PNC Guard simply counts enquiry transactions as they pass through to PNC. At the frequency selected by the auditor PNC Guard selects an enquiry en route to PNC and holds onto the enquiry. It then sends a pop-up screen back to the enquirer which hides the PNC screen. The pop-up presents up to 7 auditor formulated questions about the enquiry which may validate it as a lawful enquiry. The enquirer's responses together with full details of the original enquiry, including time and date references, are then sent in real time to the audit authority by e-mail.

### ...And Go **Beyond Auditing** with PNC Guard

PNC Guard fully automates the PNC auditing process. It is easy to install, easy to learn and easy to use. PNC Guard works 24/7 365 days a year and will greatly increase your auditing capability, saving you significant amounts of time. It exceeds the requirements of HMIC's PNC audit.

### With PNC Guard **You Can...**

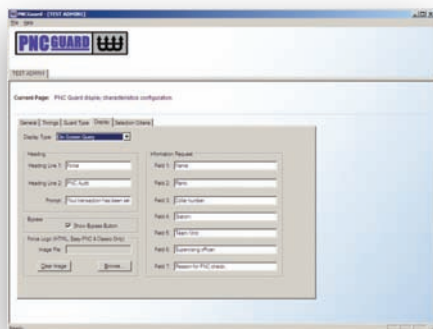
- ✓ Randomly select transactions for further input from the enquirer at the time the inquiry is made
- ✓ Audit at a number of levels (who audits the auditors?)
- ✓ Covertly audit suspect users, areas or transactions
- ✓ Target users, TSAPs, Originator line, Data line, or Terminal/PC ID
- ✓ Deliver immediate e-mail response from the audit questionnaire to the audit authority

PNC Guard includes **powerful covert facilities.**

### Easy to Use...

A simple, easy to use interface is provided which allows the auditor to control everything from his PC screen, for example:

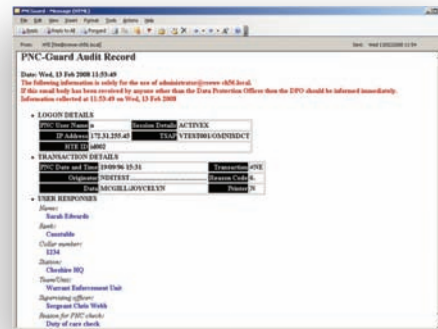
- ✓ Who gets audited (one person or the whole user community and everything in between)
- ✓ When they get audited (all day and everyday or specific times of interest)
- ✓ Which transactions (everything or pick individual areas of concern)
- ✓ Frequency (audit every transaction or 1 : 10,000 or anything in between)
- ✓ What further information is required when an enquiry is selected for audit? (set up to 7 questions to obtain information in support of the audited transaction)
- ✓ Where details of the audited transaction are sent (e-mail address)



PNC Guard Administration



Enquiry Questions To Be Asked



Sample of Message Received by Auditor

## Covert Audit

PNC Guard can run up to 8 audits at the same time. Each audit can be under the control of a different authority within a force and is confidential to that audit authority. This means that while ISOs deal with the day to day audit requirements of the force, Professional Standards can also be using PNC Guard in relation to specific investigations. As the pop-up screen is optional for each audit, they can covertly monitor the PNC transaction requests of specific PNC users or group of users in complete confidence.

### Traditional Auditing Process

Relies on the after event use of PNC #TE log facility to identify individual transactions for further investigation.

Can be a delay between the event on the PNC and the enquirer being asked to provide justification.

Is labour intensive and requires additional PNC activity by ISO, plus subsequent activity by supervisor and original enquirer.

Frequency of audits and follow-up responses can be affected by other priorities and in some instances may not happen at all.

Has a limited deterrent value.

### PNC Guard Process

Enquiring officer is aware that their enquiry is subject of audit immediately after a transaction is requested.

Real-time audit: no delay.

Easily managed by auditing authority. Saves untold man hours.

Fully automated, 24/7 operation.

Is a strong overt deterrent to those intent on misuse of PNC information. Users will soon become aware that all PNC use is subject to instant audit.